

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS : Tomohiro OKADA et al. *L. Nelson*  
SERIAL NO. : (Cont. of 09/354,467) *#2/IDS*  
FILED : 28 September 2001 *11-26-01*  
FOR : THIN FILM MAGNETIC HEAD AND MAGNETIC DISK  
APPARATUS INCLUDING THE SAME  
GROUP ART UNIT : 2754 (Anticipated)  
Examiner : George Letscher (Anticipated)  
ASSISTANT COMMISSIONER  
FOR PATENTS  
Washington, DC 20231



**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97**

S I R:

In conformance with Applicants' duty of disclosure under 37 C.F.R. § 1.56 and § 1.97(b)(1), the references listed on the attached form PTO-1449 are hereby brought to the Examiner's attention.

Since all of the references were either cited by the Examiner or submitted by Applicants in application numbers 09/354,467, no copies of the references are required.

It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear in the "references cited" on any patent to issue therefrom.

Respectfully submitted,

*David J. Zibelli*  
David J. Zibelli  
(Reg. No. 36,394)

Date: 28 September 2001

KENYON & KENYON  
1500 K Street, N.W., Suite 700  
Washington, D.C. 20005-1257  
(202) 220-4200 (phone)  
(202) 220-4201 (fax)

**FORM PTO-1449  
INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT(S)**

Atty Docket No. : 29287/124  
Serial No. :  
Inventors : Tomihiro OKADA et al.  
Filed : 28 September 2001  
Group Art Unit : 2654 (Anticipated)  
Examiner : G. Letscher (Anticipated)

**U.S. PATENT DOCUMENTS**

Examiner Initial	Patent Number	Patent Date	Name	Class/ Subclass	Filing Date
<i>pe</i>	6,018,862	2/2000	STAGEBERG et al.	29/603.14	
<i>pe</i>	5,901,431	05/1999	SANTINI	29/603.14	
<i>pe</i>	5,805,391	09/1998	CHANG et al.	360/113	

JC857 U.S. PTO  
09/964737  
09/28/01

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class/ Subclass	Translation Yes No
<i>pe</i>	06-020227	01/28/94	Japan		ABS.
<i>pe</i>	07-296328	11/10/95	Japan		ABS.

**OTHER DOCUMENTS**

(Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner  
Initial

\_\_\_\_\_

EXAMINER

*Quelli*

DATE CONSIDERED

*5-5-03*

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.